



# CHAPTER 3270. CHILD DAY CARE CENTERS

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### **Authority**

The provisions of this Chapter 3270 issued under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087), unless otherwise noted.

## Source

The provisions of this Chapter 3270 adopted April 3, 1992, effective April 4, 1992, 22 Pa.B. 1651, unless otherwise noted.

## Cross References

This chapter cited in 4 Pa. Code § 7a.1 (relating to definitions); 55 Pa. Code § 168.2 (relating to definitions); 55 Pa. Code § 3041.13 (relating to parent choice); 55 Pa. Code § 3041.16 (relating to subsidy limitations); 55 Pa. Code § 3680.1 (relating to applicability); and 55 Pa. Code § 3800.3 (relating to exemptions).

## GENERAL PROVISIONS

### § 3270.1. Introduction.

This chapter is promulgated to facilitate the safe and healthful care of a child in a child day care center and to support families by providing care that promotes the emotional, cognitive, communicative, perceptual-motor, physical and social development of the child.

## Cross References

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### § 3270.2. Purpose.

The purpose of this chapter is to provide standards to aid in protecting the health, safety and rights of children and to reduce risks to children in child day care centers. This chapter identifies the minimum level of compliance necessary to obtain the Department's certificate of compliance.

## Cross References

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### § 3270.3. Applicability.

(a) This chapter applies to facilities in which out-of-home care is provided, at any one time, for part of a 24-hour day to seven or more children, 15 years of age or younger, including:

(1) Care provided to a child at the parent's work site when the parent is not present in the child care space.

(2) Care provided in private or public, profit or nonprofit facilities.

(3) Care provided before or after the hours of instruction in nonpublic schools and in private nursery schools and kindergartens.

(b) This chapter does not apply to:

(1) Care provided by relatives.

(2) Care furnished in places of worship during religious services.

(3) Care provided in a facility where the parent is present at all times child care is being provided.

(4) Care provided during the hours of instruction in nonpublic schools and in private nursery schools and kindergartens.

(c) A legal entity seeking to operate a child day care facility as defined in this chapter shall apply for an initial or renewal certificate of compliance in accordance with the requirements established in Chapter 20 (relating to licensure or approval of facilities and agencies).

(d) Requirements relating to programs serving only school-age children are in § 3270.241 (relating to requirements specific to school-age programs).

### **Notes of Decisions**

#### *Promulgating Regulations*

The Department of Public Welfare has the authority to promulgate regulations under Article IX of the Public Welfare Code requiring nonprofit day care facilities to have a certificate of compliance in order to operate. *St Elizabeth's Day Care Center v. Department of Public Welfare*, 963 A.2d 1274 (Pa. 2009).

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.3a. Applicability—statement of policy**

This chapter is not applicable to a part-day school-age program for children who attend kindergarten or older but under 16 years of age as follows:

(1) A part-day school-age program that operates for less than 90 consecutive days per calendar year from the date the program opens to the date the program closes. This clarification does not apply to a legal entity that has a certificate of compliance to operate a child care center or group child care home and that increases its enrollment to include school-age children in the summer and on school holidays.

(2) A part-day school-age program that operates 2 hours or less per day for 3 or fewer days

per week.

(3) A part-day school-age program that has a single purpose for the children's attendance and that purpose is the only focus of the program. For example, basketball or art class.

(4) Tutoring programs that are licensed by or approved and funded by the Pennsylvania Department of Education (PDE), for example:

(i) Tutoring centers and educational testing and remedial centers licensed by PDE under 22 Pa. Code Chapters 61 and 63 (relating to tutoring centers and educational testing; and remedial centers).

(ii) Educational assistance programs operated by school districts directly or by contract with an outside provider approved by PDE.

(iii) Classroom Plus Programs.

(iv) Supplemental Education Services Programs.

(5) A drop-in program where the child may come and go at will.

#### **Source**

The provisions of this § 3270.3a adopted September 14, 2007, effective September 15, 2007, 37 Pa.B. 5018.

#### **§ 3270.4. Definitions.**

The following words and terms, when used in this chapter, have the following meanings, unless the context clearly indicates otherwise:

*ACIP*—The Advisory Committee on Immunization Practices of the Centers for Disease Control and Prevention, United States Department of Health and Human Services.

*Act*—The Public Welfare Code (62 P. S. § § 101—1411).

*Age level*—The grouping category appropriate for the child's age.

(i) *Infant*—A child from birth to 1 year of age.

(ii) *Young toddler*—A child from 1 to 2 years of age.

(iii) *Older toddler*—A child from 2 to 3 years of age.

(iv) *Preschool child*—A child from 3 years of age to the date the child enters kindergarten in a public or private school system.

(v) *Young school-age child*—A child who attends kindergarten to the date the child enters the



4th grade of a public or private school system.

(vi) *Older school-age child*—A child who attends the 4th grade of a public or private school system through 15 years of age.

*CPS*—Child Protective Services.

*CPSL*—*Child Protective Services Law*—Title 23 of the *Pennsylvania Consolidated Statutes* Chapter 63 (relating to the Child Protective Services Law).

*CRNP*—Certified Registered Nurse Practitioner.

*Casual contact*—The ordinary, routine and age-appropriate association of children, parents and facility persons in the course of daily assembly in a facility.

*Certificate of compliance*—A document issued by the Department to a legal entity permitting the entity to operate a specific type of facility at a specific location for a specific period of time according to applicable Department regulations. A certificate of compliance approves the operation of a facility subject to Article IX of the act (62 P. S. § § 901—922) or licenses the operation of a facility subject to Article X of the act (62 P. S. § § 1001—1087).

*Child*—A person 15 years of age or younger.

*Child abuse*—Serious physical or mental injury which is not explained by the available medical history as being accidental; sexual abuse or sexual exploitation; or serious physical neglect of a child if the injury, abuse or neglect of a child has been caused by the acts or omissions of the child's parent, by a person responsible for the child's welfare, by an individual residing in the same home as the child or by a paramour of a child's parent. A child will not be deemed to be physically or mentally abused for the sole reason that the child is in good faith being furnished treatment by spiritual means through prayer alone in accordance with the tenets and practices of a recognized church or religious denomination by an accredited practitioner thereof or is not provided specified medical treatment in the practice of religious beliefs or solely on the grounds of environmental factors which are beyond the control of the person responsible for the child's welfare, such as inadequate housing, furnishings, income, clothing and medical care.

*Child care experience*—Care for a child in lieu of care by the parent or guardian for part of a 24-hour day. The term includes care of foster children in a court-supervised arrangement. The term does not include care of related children who reside with an individual. The term does not include supervised onsite training in the case of a student who is fulfilling the requirements of a secondary or postsecondary child care training or educational curriculum.

*Child day care center*—The premises in which care is provided at any one time for seven or more children unrelated to the operator.

*Child with special needs*—A child who has one or more of the following:

- (i) A disability or developmental delay identifies on an IEP, an IFSP or a service agreement.
- (ii) A written behavioral plan that has been determined by a licensed physician, licensed psychologist or certified behavior analyst.
- (iii) A chronic health condition diagnosed by a licensed physician, physician's assistant or CRNP that requires health and related services of a type or amount beyond that required by children generally.

*Communicable disease*—An illness due to an infectious agent or its toxic products which is transmitted directly or indirectly by the infected agent to a susceptible host. Communicable diseases are specified in 28 Pa. Code Chapter 27 (relating to communicable and noncommunicable diseases).

*Department*—The Department of Public Welfare of the Commonwealth.

*Facility*—A child day care center.

*Facility person*—A staff person, a substitute staff person, a volunteer, a food service person, a janitorial person or another adult who serves in or is employed by a facility.

*Fire protection professional*—An individual knowledgeable and competent in fire inspections, fire detection, fire suppression systems and practices, fire service training, emergency preparedness planning and emergency evacuation whose competence is demonstrated by membership in a professional organization which promotes firesafety education.

*Group*—Children assigned to the care of one or two staff persons. A group occupies a space or a defined part of a space.

*IEP*—Individualized education program as defined in 22 Pa. Code §§ 14.101 and 14.131—14.133 (relating to definitions; and IEP).

*IFSP*—Individualized family service plan as defined in §§ 4226.5 and 4226.71—4226.77 (relating to definitions; and IFSPs).

*Inspection summary*—A document prepared by an agent of the Department describing each regulatory noncompliance item confirmed as a result of a facility inspection.

*Legal entity*—A person, society, corporation, governing authority or partnership that is legally responsible for the administration of one facility or several facilities, or one type of facility or several types of facilities.

*Night care*—Care for a child between the hours of 7 p.m. and 7 a.m.

*Operator*—The legal entity or a person designated by the legal entity to serve as the facility director.

*Parent*—The biological or adoptive mother or father or the guardian of the child.

*Potentially hazardous food*—A food that consists in whole or in part of milk or milk products, eggs, meat, poultry, fish, shellfish or other ingredients capable of supporting rapid and progressive growth of infectious or toxigenic microorganisms.

*Public water system*—A system for the provision to the public of water for human consumption that has at least 15 service connections or regularly serves an average of at least 25 individuals daily at least 60 days out of the year. The term includes:

(i) Collection, treatment, storage and distribution facilities under the control of the operator of the system and used in connection with the system.

(ii) Collection or pretreatment storage facilities not under control of the operator which are used in connection with the system.

(iii) A system which provides water for bottling or bulk hauling for human consumption.

*Relative*—A parent, child, stepparent, stepchild, grandparent, grandchild, brother, sister, half brother, half sister, aunt, uncle, niece or nephew. As applied to facilities subject to approval under Article IX of the act, the term also includes a stepbrother, stepsister or first cousin.

*School-age care*—Supervised child day care in a Departmentally certified facility during the hours when a child is not required to attend school.

*Service agreement*—A service agreement as defined in 22 Pa. Code § § 15.2 and 15.7 (relating to definitions; and service agreement).

*Space*—Indoor or outdoor area designed for child care that is large enough to accommodate the maximum number of children allowed under this chapter. A space may be used by more than one group of children.

*Staff person*—A person included in the regulatory ratio who is responsible for child care activities.

*Supervise*—To be physically present with a group of children or with the facility person under supervision. Critical oversight in which the supervisor can see, hear, direct and assess the activity of the supervisee.

*Volunteer*—A person 16 years of age or older who is not included in the regulatory ratio and who assists in implementing daily program activities under the supervision of a staff person.

*Waiver*—The Department's written exemption from the requirement of meeting a standard in this chapter.

*Year*—For purposes of calculating, 1 year of child care experience is a minimum of 1,250 clock hours.

### **Authority**

The provisions of this § 3270.4 amended under Articles IX of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.4 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (330131) to (330133) and (204545) to (204546).

### **Cross References**

This section cited in 55 Pa. Code § 3270.17 (relating to service to a child with a disability); 55 Pa. Code § 3270.122 (relating to admission interview); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## **GENERAL REQUIREMENTS**

### **§ 3270.11. Application for and issuance of a certificate of compliance.**

(a) A legal entity shall obtain a valid certificate of compliance to operate at a specific location. The certificate of compliance will be issued by the Department to a legal entity prior to commencement of operation at a specified location.

(b) A legal entity or a representative of the legal entity shall participate in an orientation training provided by the Department within 12 months prior to commencing operation of the child day care center. The orientation does not count toward the annual minimum of 6 hours of child care training required in § 3270.31(e) (relating to age and training).

(c) Application for a certificate of compliance shall be submitted to the appropriate regional day care office in accordance with Chapter 20 (relating to the licensure or approval of facilities and agencies).

(d) A certificate of compliance is issued in the manner described in Chapter 20, for a period not to exceed 12 months from the date of issue.

(e) A facility will be inspected at least once every 12 months by an agent of the Department.

(f) The facility is subject to announced and unannounced inspections in accordance with § 3270.24(b) (relating to Departmental access).

(g) Sanctions relating to the status of a certificate of compliance are applied under the authority of

applicable sections of the act, Chapter 20 and this chapter.

(h) A facility whose certificate of compliance is current as of September 22, 2008, will not be inspected under this chapter until the current certificate of compliance is due to be renewed or when a regulatory violation is alleged and the Department responds to the alleged violation with an inspection.

#### **Authority**

The provisions of this § 3270.11 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.11 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (204546).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.12. Appeals.**

(a) Appeals related to the Department's approval or licensure decisions shall be made under 2 Pa.C.S. § § 501—508 and 701—704 (relating to Administrative Agency Law) and 1 Pa. Code Part II (relating to General Rules of Administrative Practice and Procedure).

(b) Appeals related to the Department's approval or licensure shall be made by filing a petition within 30 days after service of notice of the action.

(c) An operator may appeal a Departmental decision relating to the status of a certificate of compliance of a facility owned or operated by the legal entity.

(d) The Department will include information relative to appeal procedures when notifying operators of one or more of the following decisions:

- (1) The denial of a certificate of compliance.
- (2) The nonrenewal of a certificate of compliance.
- (3) The revocation of a certificate of compliance.
- (4) The issuance of a provisional certificate of compliance.
- (5) The establishment of a period for which a provisional certificate of compliance is issued.
- (6) The reduction of the maximum facility capacity.

- (7) The denial of an increase in facility capacity.
- (8) The limiting or precluding admission of persons into the facility.
- (e) Subsection (b) supersedes the appeal period of 1 Pa. Code § 35.20 (relating to appeals from actions of the staff).

### Source

The provisions of this § 3270.12 amended October 3, 2008, effective November 3, 2008, 38 Pa.B. 5435. Immediately preceding text appears at serial pages (334999) to (335000).

### Notes of Decisions

The Department of Public Welfare's repeated failure to make timely inspections of day care center which it concealed by issuing provisional certificates based on technical or pretextual deficiencies, then granting retroactive certificates of compliance, enabled the Department to evade scrutiny of operator's appeal of issuance of provisional certificates of compliance by dismissing operator's appeal as moot. *Musheno v. Department of Public Welfare*, 829 A.2d 1228, 1232—1233 (Pa. Cmwlth. 2003). Such conduct by the Department raised important public issues, such as official competency, candor and accountability, which survive mootness. *Id.* at 1233.

### Cross References

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### § 3270.13. Waivers.

- (a) A waiver excuses an operator from meeting a regulatory standard and substitutes another standard which the operator shall meet. The substituted standard has the same legal effect as the regulatory standard.
- (b) The operator shall submit the request for waiver to the regional office before the facility is inspected for issuance or renewal of a certificate of compliance.
- (c) An operator may request a waiver of only the regulatory standards in the following sections:
  - (1) Accreditation requirements which apply to § § 3270.34—3270.36 (relating to director qualifications and responsibilities; group supervisor qualifications and responsibilities; and assistant group supervisor qualifications and responsibilities).
  - (2) Physical site requirements in § § 3270.61—3270.82 (relating to physical site).
  - (3) Equipment requirements in § § 3270.101—3270.108 (relating to equipment).
  - (4) Program requirements in § § 3270.111—3270.118 (relating to program).

(d) The Department will grant a waiver only if the following conditions are met:

(1) The waiver is not requested as a substitute for correcting a Departmental citation of noncompliance.

(2) The request for waiver does not alter the applicability or purpose of a regulation.

(3) The request shows evidence that the operator has a plan to achieve the objective of the regulation.

(4) The request certifies that the operator will meet regulatory standards related to the health, safety and rights of children.

(5) The request for waiver does not violate or condone noncompliance with another Federal or State statute or regulation.

(6) The request for waiver may not jeopardize Federal or State funding.

(e) A waiver previously granted for staff person qualifications remains in effect for the staff person at the location specified.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.14. Pertinent laws and regulations.**

A facility shall be operated in conformity with applicable Federal and State laws and regulations. State agencies whose regulations may relate to the operation of a facility include the Department of Environmental Resources, the Department of Labor and Industry, the Department of Health, the Department of Education and the Department of Transportation.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.15. Building codes.**

A certificate of compliance will not be granted by the Department until the legal entity provides a certificate of occupancy as proof of compliance with the applicable requirements of the Department of Labor and Industry in 34 Pa. Code § 403.23 (relating to child day care facilities).

#### **Authority**

The provisions of this § 3270.15 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.15 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (302102).

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.16. Dual licensure.**

If a facility meets the definition of both a private academic school under the authority of the Department of Education and a child day care center under the authority of the Department, the legal entity shall apply for approval to operate from both Departments. The facility shall comply with 22 Pa. Code Part II (relating to State Board of Private Academic Schools) and this chapter.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.17. Service to a child with special needs.**

- (a) The operator shall make reasonable accommodation to include a child with special needs in accordance with applicable Federal and State laws.
- (b) The operator shall permit an adult individual who provides specialized services to a child with special needs to provide those services on the facility premises as specified in the child's IEP, IFSP or written behavioral plan.
- (c) The operator shall make staff persons and parents aware of community resources for the family of a child who may have special needs. The Department will provide to the operator information regarding community resources.

### **Authority**

The provisions of this § 3270.17 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.17 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (302103).

### **Cross References**

This section cited in 55 Pa. Code § 3270.122 (relating to admission interview); and 55 Pa.



Code § 3270.241 (relating to requirements specific to school-age programs).

**§ 3270.18. Liability insurance.**

(a) The legal entity shall have comprehensive general liability insurance to cover the persons who are on the premises. A current copy of the insurance policy shall be on file at the facility.

(b) The operator shall report to the Department liability insurance claims brought against the operator or a facility person.

(c) A liability insurance claim report shall include the following information:

(1) A detailed description of the claim.

(2) The name, address and telephone number of the facility.

(3) The name, address and birth date of the claimant.

**Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

**§ 3270.19. Child abuse reporting.**

(a) An operator or a staff person who has reason to believe that a child enrolled in the facility has been abused is required to report suspected child abuse to ChildLine as mandated by the CPSL.

(b) A staff person may be designated by the operator as the person responsible to notify ChildLine of suspected child abuse. The operator or designated staff person with this responsibility shall immediately notify ChildLine at 1 (800) 932-0313.

(c) Within 48 hours, a written report regarding the suspected child abuse shall be submitted by the operator or designated staff person to the CPS unit which has responsibility for investigating the report.

**Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

**§ 3270.20. Reporting injury, death or fire.**

(a) The operator shall immediately notify a child's parent and shall telephone notice to the appropriate regional office of the Department within 24 hours if one or more of the following occurs:

- (1) Inpatient hospitalization or emergency room treatment of a child receiving care at the facility.
  - (2) A death of a child receiving care at the facility.
  - (3) A facility fire that requires the service of a fire department.
- (b) The operator shall mail or deliver a written report to the appropriate regional office of the Department within 72 hours after the occurrence of an event listed in subsection (a).
- (c) The report shall include the following information:
- (1) The name, address and telephone number of the facility.
  - (2) The name, address and birth date of the child.
  - (3) The name and address of the child's parent or guardian.
  - (4) A description of the incident, including the date, time and location of the incident and the equipment involved.
  - (5) The name and telephone number of local authorities notified.
  - (6) The nature of the treatment.
  - (7) The name and address of the place where the treatment was received.
  - (8) The required follow-up.
- (d) The staff person who prepared the report shall sign and date it.
- (e) Copies of reports shall be kept in a file at the facility.

### **Cross References**

This section cited in 55 Pa. Code § 3270.20a (relating to reporting injury, death or fire—statement of policy); 55 Pa. Code § 3270.132 (relating to emergency medical care); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.20a. Reporting injury, death or fire—statement of policy.**

The facility operator shall make reports to a child's parent and to the Department in accordance with § 3270.20 (relating to reporting injury, death or fire) if one or more of the following occurs:

- (1) A child receiving care in the facility is lost or missing from the facility.
- (2) A child in the care of the facility is left behind on a facility excursion.
- (3) A child receiving care in the facility is left unattended in the facility when the facility is closed.

### **Source**

The provisions of this § 3270.20a adopted February 20, 2009, effective April 22, 2009, 39 Pa.B. 1011.

### **§ 3270.21. General health and safety.**

Conditions at the facility may not pose a threat to the health or safety of the children.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.21a. [Reserved].**

### **Source**

The provisions of this § 3270.21a adopted December 26, 2003, effective December 27, 2003, 33 Pa.B. 6428; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (302105).

### **§ 3270.22. Communication with parents.**

The operator shall establish oral or written communication in the language or mode of communication which is understandable to the parent.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.23. Parent access and participation.**

A parent of a child in care shall be permitted free access, without prior notice, throughout the center whenever children are in care, unless a court of competent jurisdiction has limited the parental right of access to the child and a copy of the order is on file at the facility. Opportunity shall be provided for parents to participate in the facility's program. The operator shall maintain a yearly file which documents general announcements to promote parent participation. The file shall be updated annually.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age

programs).

#### **§ 3270.24. Departmental access.**

(a) A staff person shall provide to agents of the Department immediate access to the facility and, upon request, to the children and the files and records.

(b) An inspection will be conducted during normal business hours except when there is reasonable cause to believe that inspections at other times are necessary to detect violations of applicable laws and regulations.

(c) An agent of the Department will inspect for compliance with this chapter in all areas of the facility premises that are accessible to children.

#### **Authority**

The provisions of this § 3270.24 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.24 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (302106).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.11 (relating to application for and issuance of a certificate of compliance); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.24a. [Reserved].**

#### **Source**

The provisions of this § 3270.24a adopted July 26, 1996, effective July 27, 1996, 26 Pa.B. 3552; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (302106).

#### **§ 3270.25. Availability of certificate of compliance and applicable regulations.**

(a) The facility's current certificate of compliance and a copy of the applicable regulations under which the facility is certified shall be posted in a conspicuous location used by parents, with instructions for contacting the appropriate regional day care office posted at the same location.

(b) The operator shall post a copy of each inspection summary issued by the Department in a

conspicuous location used by parents. The inspection summary must remain posted until an agent of the Department verifies that each regulatory noncompliance item cited on the inspection summary has been corrected.

### **Authority**

The provisions of this § 3270.25 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.25 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (302106).

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.25a. [Reserved].**

### **Source**

The provisions of this § 3270.25a adopted July 26, 1996, effective July 27, 1996, 26 Pa.B. 3554; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial pages (302106) to (302107).

### **§ 3270.26. Compliance with nondiscrimination statutes.**

(a) A certificate of compliance will not be granted by the Department to a legal entity unless compliance with civil rights laws and applicable regulations has been met. The applicable laws as identified on the certificate of compliance application are:

- (1) The Pennsylvania Human Relations Act (43 P. S. § § 951—962.2).
- (2) The Age Discrimination Act of 1975 (42 U.S.C.A. § § 6101—6107).
- (3) Title VI of the Civil Rights Act of 1964 (42 U.S.C.A. § § 2000d—2000d-4a).
- (4) Title VII of the Civil Rights Act of 1964 (42 U.S.C.A. § § 2000e—2000e-5).
- (5) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C.A. § 794).
- (6) The Americans with Disabilities Act of 1990 (42 U.S.C.A. § § 12101—12514).

(b) The appropriate forms to establish compliance shall be included with the application for

certification.

## **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.27. Emergency plan.**

(a) The facility shall have an emergency plan that provides for:

(1) Shelter of children during an emergency including shelter in place at the facility and shelter at locations away from the facility premises.

(2) Evacuation of children from the facility building and evacuation of children to a location away from the facility premises. The evacuation routes and evacuation plans to exit the building may be the same as those required by § 3270.94(f) and (g) (relating to fire drills).

(3) A method for facility persons to contact parents as soon as reasonably possible when an emergency situation arises.

(4) A method for facility persons to inform parents that the emergency has ended and to provide instruction as to how parents can safely be reunited with their children.

(b) The operator shall review the emergency plan at least annually and update the plan as needed. Each review and update of the emergency plan shall be documented in writing and kept on file at the facility.

(c) Each facility person shall receive training regarding the emergency plan at the time of initial employment, on an annual basis and at the time of each plan update. The date of each training and the name of each facility person who received the training shall be documented in writing and kept on file at the facility.

(d) The emergency plan shall be posted in the facility at a conspicuous location.

(e) The operator shall provide to the parent of each enrolled child a letter explaining the emergency procedures described in subsection (a). The operator shall also provide to the parent of each enrolled child a letter explaining any subsequent update to the plan.

(f) The operator shall send a copy of the emergency plan and subsequent plan updates to the county emergency management agency.

## **Authority**

The provisions of this § 3270.27 issued under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

## **Source**

The provisions of this § 3270.27 adopted May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437.

### **Cross References**

This section cited at 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## **FACILITY PERSONS**

### **§ 3270.31. Age and training.**

- (a) A volunteer shall be 16 years of age or older and shall be directly supervised at all times.
- (b) A staff person shall be 18 years of age or older.
- (c) An individual 16 years of age or older who is enrolled in an approved training curriculum may be used as a staff person, if the following guidelines are met:
  - (1) The curriculum is conducted by an institution approved by the Department of Education and accredited by an accrediting agency recognized by the United States Department of Education or the Council on Postsecondary Education and acceptable to the Department of Education.
  - (2) The curriculum includes acceptable training topics referenced in subsection (e)(2).
  - (3) The curriculum includes a minimum total of 600 clock hours, distributed as follows:
    - (i) A minimum of 400 clock hours of classroom training.
    - (ii) A minimum of 200 clock hours of supervised training in a child day care facility.
  - (4) A representative of the training institution certifies in writing that the individual has completed the required classroom training and is currently enrolled in the curriculum.
  - (5) The written certification required in paragraph (4) shall be retained in the staff file at the facility.
- (d) Child care professional credentials are equivalent to the staff qualifications:
  - (1) A Child Development Associate (CDA) credential or a Certified Childcare Professional (CCP) credential is equivalent to 9 credit hours from an accredited college or university in early childhood education or child development and 1 year of experience with children.
  - (2) A Pennsylvania school-age professional credential is equivalent to 9 credit hours from an accredited college or university in elementary education or child development and 1 year of experience with children.

(e) A staff person shall obtain an annual minimum of 6 clock hours of child care training.

(1) Acceptable training is conducted in one or more of the following settings:

(i) By a secondary or postsecondary institution approved by the Department of Education and accredited by an accrediting agency recognized by the United States Department of Education or the Council of Postsecondary Accreditation and acceptable to the Department of Education.

(ii) By an entity that is licensed or certified professionally competent in the training topic.

(iii) In conferences or workshops.

(iv) With audio-visual materials recognized by child care professionals.

(2) Acceptable training topics include the following:

(i) Child or staff health.

(ii) Child development, early childhood education and special education.

(iii) Supervision, discipline and guidance of children.

(iv) Nutrition for children.

(v) Child care program development.

(vi) Child care staff person or volunteer professional development.

(3) Other training topics may be submitted for the Department's review and approval.

(4) Depending on the provisions of the appropriate regulation, training may be required for certain staff persons. The following constitutes competence in training areas:

(i) *First-aid training.* Competence is the completion of training by a professional in the field of first-aid. First-aid training will be renewed on or before expiration of certification or every 3 years, as applicable.

(ii) *Firesafety training.* Staff persons shall participate, at least annually, in firesafety training conducted by a fire protection professional. Staff persons and volunteers shall receive training in maintenance of smoke detectors, the duties of facility persons during a fire drill and during a fire and the use of the facility's fire extinguishers, not including discharge of the fire suppressant agent.

(iii) *Lifeguard training.* Competence is the completion of lifeguard training, including first-aid training and cardiopulmonary resuscitation (CPR) for child and infant.

(iv) *Water safety instruction.* Competence is the completion of basic instruction in water safety from a certified lifeguard.

(f) Completion of training shall be documented by the signature and title of a representative of the



training entity and include the date training was completed. Documentation shall be retained in the facility person's file.

### **Authority**

The provisions of this § 3270.31 amended under Articles IX and X of the Public Welfare Code (62 P. S. §§ 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.31 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437; corrected September 19, 2008, effective May 24, 2008, 38 Pa.B. 5168. Immediately preceding text appears at serial pages (335008) to (335009).

### **Cross References**

This section cited in 55 Pa. Code § 3270.11 (relating to application for and issuance of a certificate of compliance); 55 Pa. Code § 3270.36 (relating to assistant group supervisor qualifications and responsibilities); 55 Pa. Code § 3270.37 (relating to aide qualifications and responsibilities); 55 Pa. Code § 3270.115 (relating to water activity); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.31a. [Reserved].**

### **Source**

The provisions of this § 3270.31a adopted November 3, 1995, effective November 4, 1995, 25 Pa. B. 4708; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (257430).

### **§ 3270.32. Suitability of persons in the facility.**

(a) The operator shall comply with the CPSL and with Chapter 3490 (relating to protective services).

(b) Questions relating to the requirements of the CPSL shall be directed to the appropriate regional child day care office.

(c) The operator may not allow an individual to enter the facility if the operator knows that the individual has been convicted or is awaiting trial on charges involving a crime of child abuse, child neglect, physical violence or moral corruptness.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age

programs).

### **§ 3270.33. General requirements for facility persons.**

(a) A staff person or a substitute staff person shall meet one of the applicable staff qualifications for the position in which the person is performing.

(b) At least 50% of the staff persons in a facility shall be individuals qualified and functioning as directors, group supervisors and assistant group supervisors. No more than 50% of the staff persons may be of aide qualification level.

(c) A volunteer shall be supervised by a staff person who is qualified at minimum as an assistant group supervisor.

(d) One or more facility persons competent in first-aid techniques shall be at the facility when one or more children are in care.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.34. Director qualifications and responsibilities.**

(a) A director is responsible for the general management of the facility, including the following minimum duties:

(1) Administering finances, personnel, maintenance, meal planning and preparation and transportation.

(2) Administering the facility's program objectives and activities.

(3) Designating a staff person who is responsible for compliance with this chapter in the Director's absence.

(4) Coordinating and planning daily activities with the group supervisors or with the assistant group supervisors in school-age program.

(5) Overall program planning.

(6) Written evaluation of staff persons on a regular basis, a minimum of one evaluation every 12 months.

(b) A director shall have attained one of the following qualification levels:

(1) A bachelor's degree from an accredited college or university in early childhood education, child development, special education, elementary education or the human services field and 1 year of experience with children.

(2) A bachelor's degree from an accredited college or university, including 30 credit hours in early childhood education, child development, special education, elementary education or the human services field and 2 years of experience with children.

(3) An associate's degree from an accredited college or university in early childhood education, child development, special education, elementary education or the human services field and 3 years of experience with children.

(4) An associate's degree from an accredited college or university, including 30 credit hours in early childhood education, child development, special education, elementary education or the human services field and 4 years of experience with children.

(c) A director shall be employed by a facility and be present at the facility site a minimum of 30 hours per week.

(d) In a facility in which 45 or fewer children are enrolled, a director may also function as a group supervisor. An individual who functions in a dual capacity shall meet the responsibilities and qualifications of a director set forth in subsections (a) and (b).

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.35. Group supervisor qualifications and responsibilities.**

(a) A group supervisor is responsible for the following minimum duties:

- (1) Planning and implementing daily program activities.
- (2) Coordinating activities of assistant group supervisors and aides.
- (3) Assisting the director with designated activities.

(b) A group supervisor shall have attained one of the following qualification levels:

(1) A bachelor's degree from an accredited college or university in early childhood education, child development, special education, elementary education or the human services field.

(2) A bachelor's degree from an accredited college or university, including 30 credit hours in early childhood education, child development, special education, elementary education or the human services field and 1 year of experience with children.

(3) An associate's degree from an accredited college or university in early childhood education, child development, special education, elementary education or the human services field and 2 years of experience with children.

(4) An associate's degree from an accredited college or university, including 30 credit hours in

early childhood education, child development, special education, elementary education or the human services field and 3 years of experience with children.

(c) In a facility in which 45 or fewer children are enrolled, a group supervisor or a director/group supervisor shall be employed by and be present at the facility site for a minimum of 30 hours per week.

(d) A director may not function as a group supervisor in a facility in which more than 45 children are enrolled.

(e) In a facility in which more than 45 children are enrolled, a group supervisor is required for the first group of 45 enrolled children and for each group or partial group of 45 additional enrolled children.

(f) Each additional group supervisor shall be employed by and be present at the facility for a minimum of 30 hours per week.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.36. Assistant group supervisor qualifications and responsibilities.**

(a) An assistant group supervisor is responsible for the following minimum duties:

- (1) Assisting in the implementation of daily activities under the guidance of the group supervisor.
- (2) Coordinating daily activities and supervising aides in the absence of the group supervisor.

(b) An assistant group supervisor shall have attained one of the following qualification levels:

(1) A high school diploma or a general educational development certificate and 30 credit hours from an accredited college or university in early childhood education, child development, special education, elementary education or the human services field.

(2) A high school diploma or a general educational development certificate, including 600 or more hours of secondary training described in § 3270.31(c) (relating to age and training).

(3) A high school diploma or a general educational development certificate, 15 credit hours from an accredited college or university in early childhood education, child development, special education, elementary education or the human services field and 1 year of experience with children.

(4) A high school diploma or a general education development certificate and completion of a post-secondary training curriculum described in § 3270.31(c).

(5) A high school diploma or a general educational development certificate and 2 years experience with children.

## Cross References

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

### § 3270.37. Aide qualifications and responsibilities.

- (a) An aide is responsible for assisting in the implementation of daily program activities.
- (b) An aide shall have attained one of the following qualification levels:
  - (1) A high school diploma or a general educational development certificate.
  - (2) A minimum of an 8th grade education and enrollment in a training curriculum described at § 3270.31(c) (relating to age and training). The classroom training portion of the curriculum shall be completed. Documentation of completion of classroom training and continuing enrollment in the training curriculum shall be included in the staff person's file.
  - (3) A minimum of an 8th grade education and 2 years of experience with children.
- (c) An aide or a combination of aides shall be supervised at all times by a staff person qualified at minimum as an assistant group supervisor.

## Cross References

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## STAFF:CHILD RATIO

### § 3270.51. Similar age level.

When children are grouped in similar age levels, the following maximum child group sizes and ratios of staff persons apply:

<i>Similar Age Levels</i>	<i>Staff Children</i>		<i>Maximum Group Size</i>	<i>Total Number of Staff Required for the Maximum Group Size</i>
Infant	1	4	8	2
Young toddler	1	5	10	2
Older toddler	1	6	12	2

Preschool	1	10	20	2
Young school-age	1	12	24	2
Older school-age	1	15	30	2

### **Cross References**

This section cited in 55 Pa. Code § 3270.52 (relating to mixed age level); 55 Pa. Code § 3270.53 (relating to children of an operator or a staff person); 55 Pa. Code § 3270.113 (relating to supervision of children); 55 Pa. Code § 3270.173 (relating to transportation ratios); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.52. Mixed age level.**

When children are grouped in mixed age levels, the age of the youngest child in the group determines the staff/child ratio and maximum group size in accordance with § 3270.51 (relating to similar age level).

### **Authority**

The provisions of this § 3270.52 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.52 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (312266) to (312267).

### **Cross References**

This section cited in 55 Pa. Code § 3270.53 (relating to children of an operator or a staff person); 55 Pa. Code § 3270.113 (relating to supervision of children); and 55 Pa. Code § 3270.173 (relating to transportation ratios).

### **§ 3270.53. Children of an operator or a staff person.**

(a) The related or foster children of an operator and the children or foster children of a staff person shall be counted for the purpose of satisfying the staff/child ratio requirements in § § 3270.51 and 3270.52 (relating to similar age level; and mixed age level).

(b) The related or foster children of an operator and the children or foster children of a staff person shall be counted for the purpose of satisfying the allocated space capacity requirements in § § 3270.61 and 3270.62 (relating to measurement and use of indoor child care space; and measurement and use of play space).

### **Cross References**

This section cited in 55 Pa. Code § 3270.113 (relating to supervision of children); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

**§ 3270.54. Minimum number of facility persons in the child care facility.**

(a) At least two facility persons shall be present in the facility when two or more children are in care. At a minimum, one of the facility persons shall be a staff person.

(b) At least two facility persons shall be present when children are on an excursion away from the facility. At a minimum, one of the facility persons shall be a staff person.

(c) If the staff-child ratio warrants only one staff person, the second person may be another facility person.

**Cross References**

This section cited in 55 Pa. Code § 3270.113 (relating to supervision of children); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

**§ 3270.55. Ratios while children are napping.**

(a) While toddlers and preschoolers are napping, the following staff-child ratios apply:

*Similar Age Level Staff Children*

Young toddler	1	10
Older toddler	1	12
Preschool	1	20

(b) Staff persons who are on duty but are not providing child care during naptime shall remain in the child care portion of the facility premises.

**Cross References**

This section cited in 55 Pa. Code § 3270.113 (relating to supervision of children).

**PHYSICAL SITE**

**§ 3270.61. Measurement and use of indoor child care space.**

(a) A facility shall provide indoor child care space for individual and group small muscle activity.

(b) Indoor child care space may not be used simultaneously as play space.

(c) Indoor child care space is measured within permanent stationary partitions or walls. The allowable number of children in a space is determined by dividing the total square feet in a space by 40.

(d) Measured indoor space includes space occupied by cupboards, shelves, furniture and equipment.

(e) Measured indoor space excludes space occupied by halls, bathrooms, offices, kitchens and locker rooms.

(f) Indoor space in which children are receiving care may not be used simultaneously for other business, commercial, social or another purpose unrelated to the child care being offered.

(g) Preschool and school-age children may not be involved in small or large muscle activity in the same group space in which children are sleeping or resting.

(h) The capacity established for an indoor space may not be exceeded except in the following situations:

(1) At naptime, when toddler or preschool children are resting on rest equipment described in § 3270.106 (relating to rest equipment) if the following conditions are met:

(i) At naptime, the capacity is determined by the requirement for placement of rest equipment described in § 3270.106(f).

(ii) At naptime, the capacity may be exceeded for a period not longer than 2 1/2 consecutive hours, no more than twice in a program day.

(2) When older toddler, preschool or school-age children are participating in a program activity if the following conditions are met:

(i) The capacity of the indoor child care space may be exceeded for no more than two separate 1/2 hour time periods daily.

(ii) Each time period shall be designated on the facility's schedule of daily activities.

(iii) The space may not be occupied by children of the infant or young toddler age levels during a time period when the capacity is exceeded.

(iv) The number of children present in the space may not be more than twice the measured capacity of the space.

(3) When a meal is served in a space designated and measured as indoor child care space if the following conditions are met:

(i) The capacity of a space may be exceeded when children are eating for no more than 1 hour daily.



(ii) The meal time shall be designated on the facility's schedule of daily activities.

(iii) The number of children present in the space may not be more than twice the measured capacity of the space.

(i) The total number of children receiving child day care services at the facility at any one time may not exceed the facility's maximum capacity.

#### **Authority**

The provisions of this § 3270.61 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.61 corrected April 24, 1992, effective April 4, 1992, 22 Pa.B. 2243; amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (312268) and (268707).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); 55 Pa. Code § 3270.53 (relating to children of an operator or a staff person); 55 Pa. Code § 3270.232 (relating to indoor and outdoor space and capacity); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.61a. [Reserved].**

#### **Source**

The provisions of this § 3270.61a adopted August 19, 1994, effective August 20, 1994 and apply retroactively to July 30, 1994, 24 Pa.B. 4213; amended September 1, 2000, effective June 1, 2000, 30 Pa.B. 4641; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial pages (268707) to (268708).

#### **§ 3270.62. Measurement and use of play space.**

(a) A facility shall provide outdoor or indoor play space to be used for large muscle activity which includes running, jumping, climbing and riding.

(b) Outdoor or indoor play space shall be measured at 65 square feet per child, except in the following situations:

(1) The minimum outdoor or indoor play space required per infant is 40 square feet.

(2) The minimum outdoor or indoor play space required per young or older toddler is 50 square

feet.

(c) Outdoor or indoor play space shall be safe for large muscle activity.

(d) Outdoor or indoor play space in which children are receiving care may not be used simultaneously for other business, commercial, social or another purpose unrelated to the child care being offered.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); 55 Pa. Code § 3270.53 (relating to children of an operator or a staff person); 55 Pa. Code § 3270.232 (relating to indoor and outdoor space and capacity); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.63. Unsafe areas in outdoor space.**

If unsafe areas or conditions are in or near an outdoor play space, fencing or natural barriers are required to restrict children from those unsafe areas or conditions.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

### **§ 3270.64. Outside walkways.**

Outside walkways shall be free from ice, snow, leaves, equipment and other hazards.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.65. Protective electrical covers.**

Protective receptacle covers shall be placed in electrical outlets accessible to children 5 years of age or younger.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

### **§ 3270.66. Toxics.**

(a) Cleaning materials and other toxic materials shall be kept in an area or container that is locked or made inaccessible to children.

(b) Cleaning materials and other toxic materials shall be stored in an original labeled container or in a container that specifies the content. Toxics shall be stored away from food, food preparation areas and child care spaces.

(c) Cleaning materials and other toxic materials shall be used in a way that does not contaminate play surfaces, food, food preparation areas and does not constitute a hazard to the children.

(d) Toxic plants are not permitted in a child care space.

(e) Arts and crafts materials shall be nontoxic.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.82 (relating to toilet areas).

#### **§ 3270.67. Sanitation.**

(a) Trash shall be removed from the facility at least once per day.

(b) Trash shall be removed from the facility grounds at least once per week.

(c) Evidence of infestation of insects or rodents in the facility is not permitted.

(d) Trash that has been contaminated by human secretions or excrement shall be contained in closed, plastic-lined receptacles.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.68. Smoking.**

(a) Cigarettes, pipes or cigars may not be smoked in a child care space, a play space or a food preparation area when children are in care or when food is being prepared.

(b) Ashes and cigarette or cigar butts are prohibited in a child care space, a play space or a food preparation area.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

#### **§ 3270.69. Water.**

(a) A facility shall provide running water and a safe and adequate supply of drinking water that

complies with the standards established under the Pennsylvania Safe Drinking Water Act (35 P. S. § § 721.1—721.17).

- (b) Hot water temperature, in areas accessible to children, may not exceed 110° F.
- (c) Safe drinking water shall be made available to children of all ages throughout the day.
- (d) Drinking water shall be provided to children who are out-of-doors for a period exceeding 1 hour.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.70. Indoor temperature.**

- (a) The indoor temperature must be at least 65° F.
- (b) If the indoor temperature exceeds 82° F in a child care space, a means of mechanical air circulation must be operating.

#### **Authority**

The provisions of this § 3270.70 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.70 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (204564).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

#### **§ 3270.71. Hot water pipes and other sources of heat.**

Hot water pipes and other sources of heat exceeding 110° F that are accessible to children shall be equipped with protective guards or shall be insulated to prevent direct contact.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

#### **§ 3270.72. Ventilation.**

- (a) Natural or mechanical ventilation shall be provided in child care spaces.

(b) Windows or doors used for ventilation shall be screened when open.

(c) Screens shall be in good repair.

(d) Windows or doors above the ground floor that open directly to the outdoors and are accessible to children shall be constructed, modified or adapted to limit the opening to 6 or fewer inches.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.73. Telephone.**

A facility shall have an operable telephone and a published telephone number.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.74. Emergency telephone numbers.**

The telephone number of the nearest hospital, police department, fire department, ambulance and poison control center shall be posted by each telephone in the facility.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.75. First-aid kit.**

(a) A first-aid kit must be in a child care space.

(b) A first-aid kit must be inaccessible to children.

(c) A first-aid kit must contain the following: soap, an assortment of adhesive bandages, sterile gauze pads, tweezers, tape, scissors and disposable, nonporous gloves.

(d) One first-aid kit per child care group must accompany children and facility persons on excursions from the facility. Each first aid kit taken on an excursion must contain a bottle of water in addition to the items specified in subsection (c).

#### **Authority**

The provisions of this § 3270.75 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.75 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (315865).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.178 (relating to transportation first-aid kit).

#### **§ 3270.75a. [Reserved].**

#### **Source**

The provisions of this § 3270.75a adopted December 9, 2005, effective December 10, 2005, 35 Pa.B. 6662; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (315865).

#### **§ 3270.76. Building surface requirements.**

Floors, walls, ceilings and other surfaces, including the facility's outdoor play space surfaces shall be kept clean, in good repair and free from visible hazards.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.77. Paint.**

(a) Peeled or damaged paint or damaged plaster is not permitted on indoor or outdoor surfaces in the child care facility.

(b) When indoor or outdoor surfaces are repaired or when new indoor or outdoor surfaces are painted, the paint may not contain more than .06% lead.

(c) A child may not be present during removal of paint from the indoor or outdoor surfaces of a facility.

(d) Removal, clean-up and disposal of leaded paint dust and debris shall be accomplished in a manner that avoids dispersal of dust and debris into the environment.

(e) Abrasive removal methods which include dry sanding, electrical sanding and sandblasting or open flame burning, or a removal process that permits the release of leaded particulate material into the environment are prohibited.

(f) Dust and debris generated by removal shall be disposed of in accordance with applicable Federal, State and local regulations.

(g) Child care may resume when the removal process is completed and when all accompanying debris is removed.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

#### **§ 3270.78. Lighting.**

Rooms, hallways, stairways, outside steps, porches and ramps shall be lighted by artificial or natural light.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.79. Firearms.**

Weapons, firearms and ammunition are prohibited in a child day care center.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.80. Stairs.**

(a) Inside and outside stairs with three or more steps shall be equipped with a handrail.

(b) Inside stairs shall be equipped with nonskid surfaces.

(c) A ramp shall be equipped with a handrail.

(d) A porch shall be equipped with a handrail.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

**§ 3270.81. Glass.**

A visual strip or other visual identification shall be placed on glass located in a traffic area, a child care space or a play space.

**Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

**§ 3270.82. Toilet areas.**

(a) The following ratio of flushing toilets to toilet-trained children applies:

Similar Age Levels	Number of Toilet-Trained Toilets Children	
Young or older toddler and preschool	15	1
School-age	20	1

(b) The following ratio of sinks to children applies:

Similar Age Levels	Number of Toilet-Trained Sinks Children	
Young or older toddler and preschool	25	1
School-age	30	1

(c) A sink must be located in or near a toilet area.

(d) A training chair is not a flushing toilet. A training chair shall be emptied and sanitized after each use. An acceptable sanitizing solution is 1/4 cup of bleach combined with 1 gallon of water. A sanitizing solution shall be treated as a toxic. See § 3270.66 (relating to toxics).

(e) Toilets and sinks must be at proper heights for children using them or must be easily approached by means of platforms or steps.

(f) Toilets and training chairs may not be located in an area used for cooking or eating.

(g) Toilet areas and fixtures shall be cleaned daily and be in good repair.

(h) A facility person and an able child shall wash their hands after toileting and before eating. A sign on which this requirement is written shall be posted at each toilet, training chair, diapering area



and sink in the facility.

(i) A toilet area, training chair area, diapering area and sink area shall be equipped with a clean, lidded waste receptacle.

(j) A source of running water for handwashing must be present in infant and toddler diapering areas. If the running water does not flow directly into a drain that is connected to a sewage system, a receptacle shall be provided to contain the water used for washing. The receptacle shall be emptied into an approved sewage system at least once a day.

#### **Authority**

The provisions of this § 3270.82 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.82 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (204567) to (204568).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **FIRESAFETY**

#### **§ 3270.91. Exits.**

(a) Stairways, hallways, exits from rooms, exits from the facility and other means of egress serving as an exit shall be unobstructed.

(b) Protective gates are permitted, if they open easily and are not disapproved by building codes or local ordinances.

(c) If a door or doorway opens or exits directly into a stairwell and if there is no landing beyond the door or doorway, the door shall be restricted from opening or shall be removed and a secure barrier to prevent access to the stairwell shall be erected.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.92. Space heaters.**

- (a) Portable space heaters are not permitted.
- (b) A fixed space heater shall be approved for use by a local firesafety professional. Written approval of the installation and written approval for use shall be on file at the facility.
- (c) A fixed space heater shall be insulated or equipped with protective guards.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.93. Fireplaces and woodburning and coalburning stoves.**

Fireplaces, fireplace inserts or woodburning and coalburning stoves, if allowed by local ordinance, shall be securely screened or equipped with protective guards while in use.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.94. Fire drills.**

- (a) A fire drill shall be held at least every 60 days. Facility persons and children in attendance shall participate in the fire drill. Facility persons and children shall exit the building, weather permitting.
- (b) A written record shall be kept of the date, the time of day, the hypothetical location of the fire, the evacuation time, the names of facility persons and the number of children participating in the fire drill.
- (c) Fire drills shall be held at different times of the day or night, or both, if applicable.
- (d) Fire drills shall be held during various program activity times.
- (e) Hypothetical locations of the fire shall be changed for each drill.
- (f) Evacuation routes shall be posted.
- (g) Evacuation plans shall provide for removal of all persons from the facility in a single trip.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.27 (relating to emergency plan); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## **EQUIPMENT**

### **§ 3270.101. Type of play equipment.**

(a) Play equipment and materials appropriate to the developmental needs, individual interests and ages of the children shall be provided in sufficient amount and variety to preclude long waits for use.

(b) Play equipment shall facilitate the child's emotional, cognitive, communicative, perceptual-motor, physical and social development.

(c) Play equipment and materials shall include items from the following categories:

(1) Materials for dramatic role playing.

(2) Toys and materials for cognitive development.

(3) Toys and materials for visual development.

(4) Toys and materials for auditory development.

(5) Toys to handle and manipulate and art materials for tactile development.

(6) Toys and equipment for large muscle development.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.102. Condition of play equipment.**

(a) Toys, play equipment and other indoor and outdoor equipment used by the children must be clean, in good repair and free from rough edges, sharp corners, pinch and crush points, splinters and exposed bolts.

(b) Toys soiled by secretion or excretion shall be cleaned with soap and water, rinsed and sanitized before being used by a child.

(c) Outdoor equipment that requires embedded mounting must be mounted over a loose-fill or unitary playground protective surface covering that meets the recommendations of the United States Consumer Product Safety Commission. The equipment must be anchored firmly and be in good repair.

(d) Slides that are over 4 feet high must have guards along both sides of the ladder.

(e) Pea gravel and other materials with a diameter of less than 1 inch may not be used in spaces where infants or toddlers receive care.

(f) Indoor play equipment for climbing shall be installed or used over a protective surface covering which does not interfere with the stability of the equipment.

(g) Children's toys and equipment, including furniture and rest equipment, described as hazardous by the United States Consumer Product Safety Commission may not be used by children at the facility and may not be on the premises at the facility. At the time of inspection, the operator shall submit to the Department written affirmation on a form provided by the Department stating that the facility is in compliance with this requirement.

### **Authority**

The provisions of this § 3270.102 amended under Articles IX and X of the Public Welfare Code (62 P. S. § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.102 amended May 23, 2008, effective September 22, 2008 except for §§ 3270.102(c) and (e) which shall take effect September 22, 2010, 38 Pa.B. 2437. Immediately preceding text appears at serial page (230396).

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); 55 Pa. Code § 3270.233 (relating to play surfaces); 55 Pa. Code § 3270.102b (relating to playground surface covering—statement of policy); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.102a. [Reserved].**

### **Source**

The provisions of this § 3270.102a adopted June 13, 1997, effective June 14, 1997, 27 Pa.B. 2827; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (230396).

### **§ 3270.102b. Playground protective surface covering—statement of policy.**

The requirement for playground protective surface covering in § 3270.102(c) (relating to condition of play equipment) means that the protective surfacing material must be safe and shock absorbing for a fall from the highest designated play surface on a piece of equipment as specified in the United States Consumer Public Safety Commission's *Public Playground Safety Handbook*, Publication 325. This publication is available on the Internet at <http://www.cpsc.gov/cpsc/pub/pubs/325.pdf> or by calling the Consumer Public Safety Commission at (800) 638-2772.

### **Source**

The provisions of this § 3270.102b adopted May 8, 2009, effective May 9, 2009, 39 Pa.B. 2347.

### **§ 3270.103. Small toys and objects.**

Toys and objects with a diameter of less than 1 inch, objects with removable parts that have a diameter of less than 1 inch, plastic bags and styrofoam objects may not be accessible to children who are still placing objects in their mouths.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

### **§ 3270.104. Furniture.**

(a) Furniture must be durable, safe, easily cleaned and appropriate for the child's size, age and special needs.

(b) Study space, tables, chairs, paper and pencils shall be provided for school-age children in care, if necessary for the program offered by the facility.

### **Authority**

The provisions of this § 3270.104 amended under Articles IX and X of the Public Welfare Code (62 P. S. §§ 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.104 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (230396) to (230397).

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.105. High chairs.**

High chairs shall have a wide base and a T-shaped safety strap.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

### **§ 3270.106. Rest equipment.**

- (a) Individual, clean, age-appropriate rest equipment shall be provided for preschool, toddler and infant children as agreed between the child's parent and the operator. The rest equipment must be labeled for the use of a specific child and used only by the specified child.
- (b) Bed linens may not be used alone as age-appropriate rest equipment.
- (c) Stacked cribs may not be used.
- (d) Crib and playpen slats may be no more than 2 3/8 inches apart.
- (e) Seasonal, appropriate covering, such as sheets or blankets, shall be provided as agreed between the child's parent and the operator.
- (f) At least 2 feet of space is required on three sides of a bed, cot, crib or other rest equipment while the equipment is in use.
- (g) Linens, blankets and rest equipment shall be cleaned monthly, at a minimum. The operator shall arrange a cleaning schedule with the parent.
- (h) Soiled bedding shall be cleaned before it is reused.
- (i) The upper level of double-deck beds may not be used for children 8 years of age or younger.
- (j) Toys, bumper pads or pillows may not be present in a crib while an infant is sleeping in the crib.

#### **Authority**

The provisions of this § 3270.106 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.106 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (218001).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); 55 Pa. Code § 3270.61 (relating to measurement and use of indoor child care space); 55 Pa. Code § 3270.209 (relating to rest equipment); and 55 Pa. Code § 3270.234 (relating to stacked cribs and crib slats).

### **§ 3270.107. Refrigerator.**

A facility shall have an operable, clean refrigerator used to store potentially hazardous foods. The refrigerator shall be capable of maintaining food at 45° F or below. An operating thermometer shall be placed in the refrigerator.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.108. Utensils.**

- (a) Eating and drinking utensils shall be free from cracks and chips.
- (b) Disposable cups, plates and eating utensils may be used if discarded after each use.
- (c) Styrofoam cups and plates may not be used.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## **PROGRAM**

#### **§ 3270.111. Daily activities.**

- (a) A written plan of daily activities and routines, including a time for free play shall be established for each group. The plan shall be flexible to accommodate the needs of individual children and the dynamics of the group.
- (b) The written plan shall be posted in the group space.
- (c) Daily activities shall promote the development of skills, social competence and self-esteem. Daily experiences shall recognize the child as an individual and give some choice of activities that respect personal privacy, lifestyle and cultural background.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.112. Infant and toddler stimulation.**

Infants and toddlers shall be provided stimulation by being held, rocked, talked to, played with and carried.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

### **§ 3270.113. Supervision of children.**

(a) Children on the facility premises and on facility excursions off the premises shall be supervised by a staff person at all times. Outdoor play space used by the facility is considered part of the facility premises.

(1) Each staff person shall be assigned the responsibility for supervision of specific children. The staff person shall know the names and whereabouts of the children in his assigned group. The staff person shall be physically present with the children in his group on the facility premises and on facility excursions off the facility premises.

(2) The requirement for supervision on and off the facility premises includes compliance with the staff:child ratio requirements in §§ 3270.51—3270.55 (relating to staff:child ratio).

(b) A facility person may not use any form of physical punishment, including spanking a child.

(c) A facility person may not single out a child for ridicule, threaten harm to the child or the child's family and may not specifically aim to degrade the child or the child's family.

(d) A facility person may not use harsh, demeaning or abusive language in the presence of children.

(e) A facility person may not restrain a child by using bonds, ties or straps to restrict a child's movement or by enclosing the child in a confined space, closet or locked room. The prohibition against restraining a child does not apply to the use of adaptive equipment prescribed for a child with special needs.

#### **Authority**

The provisions of this § 3270.113 amended under Articles IX and X of the Public Welfare Code (62 P. S. §§ 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.113 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (218002).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.113a. [Reserved].**

#### **Source**



The provisions of this § 3270.113a adopted July 26, 1996, effective July 27, 1996, 26 Pa.B. 3552; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial pages (218002) and (312269).

**§ 3270.113b. [Reserved].**

**Source**

The provisions of this § 3270.113b adopted July 1, 2005, effective July 2, 2005, 35 Pa.B. 3665; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (312269).

**§ 3270.114. Outdoor activity.**

Weather permitting, children shall be taken out doors daily.

**Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers).

**§ 3270.115. Water activity.**

(a) *Swimming.*

(1) A swimming pool must conform to 28 Pa. Code Chapter 18 (relating to public swimming and bathing places).

(2) An in-ground swimming pool accessible to children must be fenced with a locked gate.

(3) An aboveground swimming pool which is not in use must be made inaccessible to children in accordance with the swimming pool barrier guidelines of the United States Consumer Product Safety Commission.

(4) An indoor swimming pool which is not in use must be made inaccessible to children.

(5) The following staff:child ratios apply while children are swimming:

Similar Age Level	Staff Children	
Infant	1	1
Young or older toddler	1	2
Preschool	1	5
Young school-age	1	6

(6) When children are swimming, supervision shall include one person certified in lifeguard training, as described in § 3270.31(e)(4)(iii) (relating to age and training).

(7) The person certified in lifeguard training may not be included in the staff:child ratio.

(8) A facility person who is counted in the staff:child swimming ratio shall annually complete water safety instruction.

(b) *Wading.*

(1) Staff persons shall supervise day care children using wading pools. Staff:child ratios in subsection (a) apply when children are wading.

(2) A sanitizing solution shall be added to water in a wading pool. An acceptable sanitizing solution is 3/4 teaspoon of bleach added to 50 gallons of water.

(3) A wading pool shall be emptied daily.

(c) *Water play tables.* A water play table or a container used for water play that contains unfiltered water shall be emptied daily.

#### **Authority**

The provisions of this § 3270.115 amended under Articles IX and X of the Public Welfare Code (62 P. S. § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.115 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (312269) to (312270).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.115a. [Reserved].**

#### **Source**

The provisions of this § 3270.115a adopted July 26, 1996, effective July 27, 1996, 26 Pa.B. 3553; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (312270).

## **§ 3270.116. School-age children.**

When a facility serves a school-age child, homework supervision shall be provided in accordance with arrangements determined by the parent and the operator.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## **§ 3270.117. Release of children.**

(a) A child shall be released only to the child's parent or to an individual designated in writing by the enrolling parent. A child shall be released to either parent unless a court order on file at the facility states otherwise.

(b) In an emergency, a child may be released to an individual upon the oral designation of the parent, if the identity of the individual can be verified by a staff person.

(c) If a child is released upon the oral designation of the parent, the following information shall be logged in the child's record:

- (1) The name of the parent making the request.
- (2) The date and time of the request.
- (3) The name of the individual to whom the child is to be released.
- (4) The name of the staff person taking the call.
- (5) The name of the staff person releasing the child.

### **Authority**

The provisions of this § 3270.117 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.117 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (312270).

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.123 (relating to agreement).

## **§ 3270.117a. [Reserved].**

### **Source**

The provisions of this § 3270.117a adopted December 17, 1999, effective September 7, 1999, 29 Pa.B. 6341; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (312270).

### **§ 3270.118. Pets.**

- (a) A pet or animal present at the facility, indoors or outdoors, shall be in good health and known to be friendly to children.
- (b) Contact with pets by the children is permitted only when a staff person is physically present.
- (c) A veterinarian's certificate of current rabies immunization is required for a cat or dog at the facility. The certificate shall be on file when the cat or dog is present.

### **Cross References**

This section cited in 55 Pa. Code § 3270.13 (relating to waivers); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.119. Infant sleep position.**

Infants shall be placed in the sleeping position recommended by the American Academy of Pediatrics unless there is a medical reason an infant should not sleep in this position. The medical reason shall be documented in a statement signed by a physician, physician's assistant or CRNP and placed in the child's record at the facility.

### **Authority**

The provisions of this § 3270.119 adopted under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.119 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437.

## **PROCEDURES FOR ADMISSION**

### **§ 3270.121. Application.**

- (a) The operator shall review with the parent, at the time of application, the facility's general daily

schedule, hours for which care is provided, fees, responsibilities for meals, clothing, health policies, supervision policies, night care policies, dismissal policies, transportation and pick-up arrangements.

(b) At the time of enrollment, a parent shall receive in writing the information described in subsection (a).

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.122. Admission interview.**

A child shall be interviewed or observed by the operator and when possible shall have the opportunity to visit the facility prior to being admitted for care. The child shall be told as much about the service being planned as he can understand. If the parent indicates that the child has a special need, the operator shall discuss the condition with the parent, refer to § 3270.4 (relating to definitions), and comply with § § 3270.17, 3270.124 and 3270.131 (relating to service to a child with special needs; emergency contact information; and health information).

### **Authority**

The provisions of this § 3270.122 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.122 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (228699).

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.123. Agreement.**

(a) An agreement signed by the operator and the parent must specify the following:

- (1) The amount of the fee to be charged per day or per week.
- (2) The date on which the fee is to be paid.

(3) The services to be provided to the family and the child, including the Department's approved form to provide information to the family about the child's growth and development in the context of the services being provided. The operator shall complete and update the form and provide a copy to the family in accordance with the updates regarding emergency contact information in

§ 3270.124(f) (relating to emergency contact information).

(4) The child's arrival and departure times.

(5) The persons designated by a parent to whom the child may be released as specified in § 3270.117 (relating to release of children).

(6) The date of the child's admission.

(7) The services which are to be considered as extra.

(b) A parent shall receive the original agreement. The facility shall retain a copy of the agreement.

#### **Authority**

The provisions of this § 3270.123 amended under Articles IX and X of the Public Welfare Code (62 P. S. §§ 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.123 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (228699) to (228700).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.124. Emergency contact information.**

(a) Emergency contact information is required for each enrolled child. Emergency contact information must reference who shall be contacted in an emergency.

(b) Emergency contact information must include the following:

(1) The name and birth date of the child.

(2) The name, address and telephone number of the child's physician or source of medical care.

(3) The home and work addresses and telephone numbers of the enrolling parent.

(4) The written consent signed by a parent for emergency medical care.

(5) Information on the child's special needs, as specified by the child's parent, physician, physician's assistant or CRNP, which is needed in an emergency situation.

(6) Health insurance coverage and policy number for a child under a family policy or Medical Assistance benefits, if applicable.

(7) The name, address and telephone number of the individual designated by the parent to whom the child may be released.

(c) When children are in the facility, emergency contact information must be present in a child care space for children receiving care in the space.

(d) When children leave the facility on walking and riding excursions, emergency contact information specific to each child on the excursion accompany a staff person on the excursion.

(e) A written plan identifying the means of transporting a child to emergency care and staffing provisions in the event of an emergency shall be displayed conspicuously in every child care space and accompany a staff person who leaves on an excursion with children.

(f) The parent shall update in writing emergency contact information once in a 6-month period or as soon as there is a change in the information.

### **Authority**

The provisions of this § 3270.124 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.124 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (228700) and (312151).

### **Cross References**

This section cited in 55 Pa. Code § 3270.122 (relating to admission interview); 55 Pa. Code § 3270.123 (relating to agreement); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## **CHILD HEALTH**

### **§ 3270.131. Health information.**

(a) The operator shall require the parent of an enrolled child, including a child, a foster child and a relative of an operator or a facility person, to provide an initial health report no later than 60 days following the first day of attendance at the facility.

(1) The initial health report for an infant must be dated no more than 3 months prior to the first day of attendance at the facility.

(2) The initial health report for a young toddler must be dated no more than 6 months prior to the first day of attendance at the facility.

(3) The initial health report for an older toddler or preschool child must be dated no more than 1 year prior to the first day of attendance at the facility.

(4) The initial health report for a school-age child must be dated in accordance with the requirements for medical examinations for school attendance in 28 Pa. Code § 23.2 (relating to medical examinations).

(b) The operator shall require the parent to provide an updated health report in accordance with the following schedules:

(1) At least every 6 months for an infant or young toddler.

(2) At least every 12 months for an older toddler or preschool child.

(c) A health report must be written and signed by a physician, physician's assistant or a CRNP. The signature must include the individual's professional title.

(d) The health report must include the following information:

(1) A review of the child's health history.

(2) A list of the child's allergies.

(3) A list of the child's current medication and the reason for the medication.

(4) An assessment of an acute or chronic health problem or special need and recommendations for treatment or services, including information regarding abnormal results of screening tests for vision, hearing or lead poisoning.

(5) A review of the child's immunized status according to recommendations of the ACIP.

(6) A statement of the child's medical information pertinent to diagnosis and treatment in case of emergency.

(7) A statement that the child is able to participate in child care and appears to be free from contagious or communicable disease.

(8) A statement that age-appropriate screenings recommended by the American Academy of Pediatrics were conducted since the time of the previous health report required by this section.

(e) The facility may not accept or retain an infant 2 months of age or older, a toddler or a preschool child at the facility for more than 60 days following the first day of attendance at the facility unless the parent provides written verification from a physician, physician's assistant, CRNP, the Department of Health or a local health department of the dates (month, day and year) the child was administered immunizations in accordance with the recommendations of the ACIP.

(1) The facility shall require the parent to provide updated written verification from a physician, physician's assistant, CRNP, the Department of Health or a local health department of ongoing vaccines administered to an infant, toddler or preschool child in accordance with the schedule



recommended by the ACIP.

(2) Exemption from immunization must be documented as follows:

(i) Exemption from immunization for religious belief or strong personal objection equated to a religious belief must be documented by a written, signed and dated statement from the child's parent or guardian. The statement shall be kept in the child's record.

(ii) Exemption from immunization for reasons of medical need shall be documented by a written, signed and dated statement from the child's physician, physician's assistant or CRNP. The statement shall be kept in the child's record.

(3) The facility shall implement dismissal policies in accordance with the Department of Health regulation in 28 Pa. Code § 27.77 (relating to immunization requirements for children in child care group settings).

(4) The facility shall comply with the annual immunization reporting requirements in accordance with the Department of Health regulation in 28 Pa. Code § 27.77.

#### **Authority**

The provisions of this § 3270.131 amended under Articles IX and X of the Public Welfare Code (62 P. S. §§ 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.131 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (312151).

#### **Cross References**

This section cited in 55 Pa. Code § 3041.46 (relating to immunization); 55 Pa. Code § 3270.122 (relating to admission interview); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.131a. [Reserved].**

#### **Source**

The provisions of this § 3270.131a adopted March 28, 1997, effective March 29, 1997, 27 Pa.B. 1579; reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2469. Immediately preceding text appears at serial page (312152).

#### **§ 3270.132. Emergency medical care.**

(a) If emergency medical care is needed for a child, the parent shall be contacted as soon as

practical in the best interest of the child. If the parent cannot be reached, the operator shall record in writing the reason emergency care was required and the attempts made to inform the parent.

(b) A staff person shall accompany a child to a source of emergency care and shall remain with the child until the parent assumes responsibility for the child's care.

(c) An operator shall document the manner in which emergency treatment was sought and obtained. File documentation shall include information referenced at § 3270.20(c) (relating to reporting injury, death or fire).

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.133. Child medication and special diets.**

The operator shall make reasonable accommodation in accordance with applicable Federal and State laws to facilitate administration of medication or a special diet that is prescribed by a physician, physician's assistant or CRNP as treatment related to the child's special needs. Facility persons are not required to administer medication or special diets which are requested or required by a parent, a physician, a physician's assistant or a CRNP but are not treatment related to the child's special needs. When medication or special diets are administered, the following requirements apply:

(1) A prescription or nonprescription medication may be accepted only in an original container. The medication must remain in the container in which it was received.

(2) A staff person shall administer a prescription medication only if written instructions are provided from the individual who prescribed the medicine. Instructions for administration contained on a prescription label are acceptable.

(3) The label of a medication container must identify the name of the medication and the name of the child for whom the medication is intended. Medication shall be administered to only the child whose name appears on the container.

(4) Medication shall be stored in a locked area of the facility or in an area that is out of the reach of children.

(5) Medication shall be stored in accordance with the manufacturer's or health professional's instructions on the original label.

(6) A parent shall provide written consent for administration.

(7) An operator is responsible to establish and maintain a medication log if prescription or nonprescription medication is administered. A log must include the following minimum information:

(i) The name of the medication.

- (ii) The name of the child receiving the medication.
- (iii) A requirement for refrigeration.
- (iv) The amount of medication administered.
- (v) The date of administration.
- (vi) The time of administration.
- (vii) The initials of the staff person who administered the medication.
- (viii) Special notes related to problems of administration.

(8) If a special diet is prescribed for a child and if the diet is administered to the child, written instructions and the parent's written consent shall be retained in the child's file.

#### **Authority**

The provisions of this § 3270.133 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.133 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (312152) and (228703).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.134. Child hygiene.**

- (a) A staff person shall ensure that a child's hands are washed before meals and snacks, after toileting and after being diapered.
- (b) Cloth towels and washcloths shall be labeled with the child's name, used by only the named child and laundered weekly. The director shall arrange a laundry schedule with the parent.
- (c) Paper towels may be used as towels and washcloths. Paper towels shall be discarded after each use.
- (d) Liquid or powdered soap shall be used for handwashing.
- (e) A child shall have a labeled toothbrush if brushing teeth is a program activity.
- (f) Toothbrushes shall be stored with the bristles up and exposed to circulating air.

(g) Paper cups, discarded after one use, or water fountains shall be used for between-meal drinking by children who are not bottle-fed.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.135. Diapering requirements.**

(a) When children are diapered, the facility shall use disposable diapers, a diaper service or arrange with the parent to provide a daily diaper supply.

(1) If nondisposable diapers are provided by a parent, a soiled diaper shall be placed in an individual, securely-tied plastic bag and returned to the parent at the end of the day.

(2) If nondisposable diapers from a diaper service are provided by a facility, a soiled diaper shall be placed in the container provided by the service or in a securely-tied plastic bag.

(3) If disposable diapers are provided by a parent or by a facility, a soiled diaper shall be discarded by immediately placing the diaper into a plastic-lined, hands-free covered can.

(4) A soiled diaper that is not in a tied bag may not be placed in an unlined outdoor trash container.

(b) Diaper changing surfaces shall be cleaned after each use by wiping the surface with a sanitizing solution or by changing a pad or other surface covering.

(c) The diapering area may not be used for food preparation or food service.

(d) Cloth and paper materials used as diapering aids shall be stored in a manner that prevents cross-contamination from a soiled diaper, contaminated hands or other changing materials.

(e) A staff person shall check a child's diaper at least every 2 hours and whenever the child indicates discomfort or exhibits behavior that suggests a soiled diaper. A staff person shall change a child's diaper when the diaper is soiled.

### **Authority**

The provisions of this § 3270.135 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.135 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (228704).

### **§ 3270.136. Reporting diseases.**

(a) If an operator allows admission of an ill child, the operator shall receive instructions from the parent for care of the child to assure that the child's needs for rest, attention and administration of medication are met.

(b) The operator shall inform parents of enrolled children when there is a suspected outbreak of a communicable disease or an outbreak of an unusual illness that represents a public health emergency in the opinion of the Department of Health.

(c) If a child becomes ill at the facility, the operator shall notify the child's parent as soon as possible.

(d) A facility person who knows of a communicable disease for which 28 Pa. Code Chapter 27 (relating to communicable and noncommunicable diseases) requires reporting, or who knows of a group expression of an illness which may be of public concern, whether or not it is known to be of a communicable nature, shall report it promptly to the appropriate division of the Department of Health as specified in Chapter 27, or to a local department of health.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.137. Children with symptoms of disease.**

An operator who observes an enrolled child with symptoms of a communicable disease or infection that can be transmitted directly or indirectly and which may threaten the health of children in care shall exclude the child from attendance until the operator receives notification from a physician or a CRNP that the child is no longer considered a threat to the health of others. The notification shall be retained in the child's file. Diseases and conditions which require exclusion are specified in 28 Pa. Code Chapter 27 (relating to communicable and noncommunicable diseases). The Department of Health will provide, upon request, a list of communicable diseases.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.138. Discrimination based on illness.**

Before, during and after the admission process, an operator or facility person may not discriminate against serving a child who has an illness which is not transmitted by casual contact.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## ADULT HEALTH

### § 3270.151. Health assessment.

(a) A facility person providing direct care who comes into contact with the children or who works with food preparation shall have a health assessment conducted within 12 months prior to providing initial service in a child care setting and every 24 months thereafter. A health assessment is valid for 24 months following the date of signature, if the person does not contract a communicable disease or develop a medical problem.

(b) A health assessment shall be conducted and a report shall be written and signed by a physician, physician's assistant or CRNP. The signature must include the individual's professional title.

(c) The health assessment must include the following:

(1) A physical examination.

(2) Tuberculosis screening by the Mantoux method at initial employment. Subsequent tuberculosis screening is not required unless directed by a physician, physician's assistant, CRNP, the Department of Health or a local health department.

(i) If a person's medical record demonstrates a positive tuberculin skin test, that record shall be placed on file at the facility.

(ii) A record of a person with a positive tuberculin skin test must include the results of a chest X-ray and evaluation for chemoprophylaxis.

(iii) A person with a positive tuberculin skin test and a negative x-ray is not required to have further tuberculosis testing, unless one of the following occurs:

(A) The person is exposed to an active case of tuberculosis.

(B) The person develops a productive cough which does not respond to medical treatment within 14 days.

(3) Examination for communicable diseases and the results of that examination.

(4) Information on medical problems that might threaten the health of the children or prohibit a staff person from providing adequate care to children.

(5) The physician's or CRNP's assessment of the person's suitability to provide child care.

(d) An adult individual who is employed by a facility and who provides children with social, medical, psychological or psychiatric services in addition to this chapter is required to have a current health assessment on file at the facility. An adult individual or an employe of an agency who

provides those services by contract with the child's parent or the facility is not required to have a current health assessment on file at the facility.

#### **Authority**

The provisions of this § 3270.151 amended under Articles IX and X of the Public Welfare Code (62 P. S. §§ 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.151 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (204581) to (204582).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.151a. Tuberculosis testing—statement of policy.**

The Department will accept an interferongamma release assay blood test instead of the Mantoux skin test for an adult health assessment.

#### **Source**

The provisions of this § 3270.151a adopted February 3, 2012, effective immediately, 42 Pa.B. 675.

#### **§ 3270.152. Adult hygiene.**

A facility person shall wash his hands before meals and snacks, and after toileting and after diapering a child.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.153. Facility persons with symptoms of disease.**

A facility person with symptoms of a communicable disease or infection that can be transmitted directly or indirectly and which may threaten the health of children in care shall be excluded from attendance until the facility operator receives notification from a physician or CRNP that the person is no longer considered a threat to the health of others. The notification shall be retained in the facility person's file. Exclusion from the facility is required for diseases and conditions specified in 28 Pa. Code Chapter 27 (relating to communicable and noncommunicable diseases). The Department of Health will provide, upon request, a list of communicable diseases.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.154. Facility persons with skin disorders.**

(a) A facility person with a discharging or infected wound, sore or lesion on the hands, arms or an exposed portion of the body shall be excluded from child care and food preparation activities until the operator receives written notification from a physician or CRNP that the person may return to child care or food preparation. The notification shall be retained in the person's file.

(b) A facility person with a herpes infection may not be present with infants younger than 3 months of age.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.155. Discrimination based on illness.**

A facility person or an individual seeking employment or placement who has an illness that is not transmitted by casual contact shall be permitted the right to continued employment, placement, employment opportunity or placement opportunity to the extent of the person's ability to perform the stated job function.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## **NUTRITION**

#### **§ 3270.161. Food.**

(a) Food stored, prepared or served shall be clean, wholesome, free from spoilage, free from adulteration and safe for human consumption.

(b) Food handling practices shall conform to the requirements of the Department of Health or the Department of Environmental Resources or its delegate agency where the day care facility is located.

(c) Food that has been previously served to a person or returned from a table shall be discarded.



(d) Potentially hazardous food brought from the child's home or provided by the facility shall be refrigerated.

(e) Fresh fruits and vegetables that are not used on the day of purchase shall be refrigerated.

(f) The only canned foods permitted for children's consumption are those commercially preserved in airtight jars or cans.

(g) A facility shall provide a sufficient number of refrigerators to contain foods which require refrigeration.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.162. Meals.**

(a) If a child receives care for 4 or more consecutive hours, nutritional, appropriately-timed meals and snacks shall be served.

(b) Meals and snacks may be provided by the parent, upon agreement between the parent and the operator.

(c) Food may not be withheld from a child for purposes of discipline.

(d) A child may not be forced to eat food.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.163. Food groups.**

(a) A lunch or dinner prepared at the facility for children of toddler age or older shall have at least one item from each of the following food groups:

(1) Dairy products—milk, milk products and cheese.

(2) Protein group—meat, fish, poultry, eggs, cheese, peanut butter, dried beans, peas and nuts.

(3) Fruits and vegetables—including a wide variety of green, white, yellow, red vegetables and fruits.

(4) Grain group—whole grain and enriched products, such as breads, cereals, pastas, crackers and rice.

(b) Breakfast prepared at the facility for children of toddler age or older shall have at least one item from three of the four food groups listed in subsection (a).

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.164. Food servings.**

Food servings shall be portioned suitably for the size and age of the children in care. Additional food in reasonable amounts shall be made available to children upon the request of the parent or child.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.165. Menus.**

An operator shall conspicuously post the menu at least 1 week in advance or provide a menu to each family.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.166. Meals for infants.**

Meals for infants shall be provided in accordance with the following requirements:

- (1) A written statement giving formula and feeding schedule shall be obtained from the parent.
- (2) New foods shall be introduced only after consultation with the child's parent.
- (3) Disposable nursers shall be used unless bottles are provided by the parent or unless a commercial dishwasher is used by the facility.
- (4) Disposable nursers and bottles shall be labeled with the child's name.
- (5) An infant 6 months of age or younger shall be held while being bottle fed.
- (6) Neither an infant nor a toddler is permitted to sleep with a bottle in his mouth.
- (7) Bottled formula may not be heated in a microwave oven.

# TRANSPORTATION

## § 3270.171. Pick-up and drop-off points.

(a) An operator shall notify local traffic safety authorities annually in writing of the location of the facility and the program's use of pedestrian and vehicular routes around the day care facility.

(b) Safe pedestrian crossways, pick-up and drop-off points and bike routes shall be appropriately determined in the vicinity of the facility and communicated to the children and parents in writing.

(c) Written notification of safe routes shall be posted by the operator at a conspicuous location in the child care facility.

(d) Children shall be picked up and discharged only at locations specified by the facility as safe locations.

### Cross References

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## § 3270.172. Consent.

(a) Transportation by the facility requires written parental consent, except for transportation of school-age children who are transported to or from a child care facility in vehicles owned or operated by the school district. See § 3270.241(b)(13)(i) (relating to requirements specific to school-age programs).

(b) If a child has a problem or special need such as seizures or motion sickness that may require special care during transportation, written parental instructions regarding treatment of the problem or special need shall accompany the child being transported.

(c) Written information required in subsections (a) and (b) shall be given to the operator or attendant of a vehicle transporting the child.

### Cross References

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## § 3270.173. Transportation ratios.

(a) The staff-child ratios specified in §§ 3270.51 and 3270.52 (relating to similar age level; and mixed age level) apply when infant, young or older toddler and preschool children are transported. The maximum group size requirements in §§ 3270.51 and 3270.52 do not apply during

transportation.

(b) The driver may not be considered part of the staff-child ratio when infant, young or older toddler or preschool children are transported.

(c) When school-age children are transported, the driver may be considered part of the staff-child ratio required in § 3270.51.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.174. Age of driver.**

The operator of the vehicle shall be 18 years of age or older and shall have a valid operator's license.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.175. Safety restraints.**

(a) A child 7 years of age or younger shall be transported in accordance with the requirements for parents and guardians as set forth in 75 Pa.C.S. § 4581 (relating to restraint systems).

(b) Safety restraints installed in the vehicle at the time of manufacturing shall be used by all occupants.

(c) Manufacturers' instructions for use of safety restraints shall be kept in the vehicle at all times.

(d) A school bus with a seating capacity of 16 or more children used in transporting preschool or school-age children is exempt from the requirements established under subsections (a)—(c).

#### **Authority**

The provisions of this § 3270.175 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.175 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (204586).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.176. Vehicles.**

- (a) A vehicle shall be insured in accordance with 75 Pa.C.S. § § 1701—1799.7 (relating to the Motor Vehicle Financial Responsibility Law).
- (b) The doors on a vehicle shall be locked whenever the vehicle is in motion.
- (c) No more than three persons may occupy the front seat of an automobile.
- (d) The back of a pick-up truck may not be used to transport children.
- (e) The cargo area of a station wagon may not be used to transport children.
- (f) In accordance with 67 Pa. Code Chapter 171 (relating to school buses and school vehicles), the facility may not transport a child in an 11—15 passenger van.

#### **Authority**

The provisions of this § 3270.176 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

#### **Source**

The provisions of this § 3270.176 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (204586) to (204587).

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.177. Supervision.**

- (a) Children may not be left unattended in a vehicle.
- (b) Children shall be supervised during boarding and exiting vehicles by an adult who remains outside the vehicle.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.178. Transportation first-aid kit.**

A first-aid kit, including the contents as specified in § 3270.75 (relating to first-aid kit) shall be in the vehicle when children are being transported. The kit may be the same kit described in § 3270.75.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

## **CHILD RECORDS**

### **§ 3270.181. Individual records.**

(a) An operator shall establish and maintain an individual record for each child enrolled in the facility.

(b) Information in a child's record shall be kept current by the operator.

(c) A parent is required to review and update the emergency contact information and the financial agreement at least once in a 6-month period or as soon as there is a change in the information.

(d) Following review, a parent shall attest to the accuracy of information in subsection (c) by affixing a dated signature to the record.

(e) If emergency information is updated in a master file, it shall be updated accordingly in other facility records.

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.182. Content of records.**

A child's record must contain the following information:

(1) Initial and subsequent health reports.

(2) The dates of application, admission and withdrawal of the child.

(3) Signed parental consent for emergency medical care for the child. Written consent is required prior to admission.

(4) Signed parental consent for administration of medications or special dietary needs.

(5) Signed parental consent for administration of minor first-aid procedures by facility staff.

Written consent is required prior to admission.

(6) Signed parental consent for transportation, walking excursions, swimming and wading.

(7) Reports of accidents, injuries and illnesses involving a child in care at the facility. The original report shall be given to the parent on the day of the incident. The second copy of the report shall be retained at the facility in an accident file. The third copy of the report shall be retained at the facility in the child's file.

(8) A copy of the initial agreement and subsequent written agreements between the parent and the operator. The parent shall receive the original agreement.

### **Authority**

The provisions of this § 3270.182 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.182 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (204587) to (204588).

### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.183. Confidentiality of records.**

(a) Child records are confidential and shall be stored in a locked cabinet.

(b) A facility person may not disclose information concerning a child or family, except in the course of inspections and investigations by agents of the Department.

### **Cross References**

This section cited in 55 Pa. Code § 3270.184 (relating to release of information); and 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.184. Release of information.**

(a) The parent shall have access to the child's complete child day care record.

(b) Except as provided in § 3270.183(b) (relating to confidentiality of records), release or dissemination of information in a child's record may be made by the operator and only with written parental consent. When file material is released, the person who authorized the release shall record the following information in the child's file:

- (1) The name and position of the individual to whom the information was released.
- (2) The date the information was released.
- (3) The portions of the record that were released.
- (4) The purpose of the release.
- (5) The signature of the person who authorized the release.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.185. Record retention.**

A copy of the child's record shall be retained at the facility for at least 1 year after termination of service, unless the entire record is transferred by the operator to the parent or guardian or to another agency at the request of the parent or guardian.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **ADULT RECORDS**

#### **§ 3270.191. Individual records.**

An individual record is required for each facility person.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.192. Content of records.**

A record shall include a copy of the following information:

- (1) The name, address and telephone number of the facility person.
- (2) Verification as follows:



- (i) Verification of age.
  - (ii) Verification of child care experience, education and training prior to service at the facility.
  - (iii) Verification of child care experience, education and training following the outset of service at the facility.
  - (iv) Acceptable verification of experience, education or training is a transcript or a diploma or a letter signed by a representative of the experiential, educational or training entity.
- (3) A written report of initial and subsequent health assessments, including the results of initial and subsequent tuberculin skin tests, x-rays or other medical documentation necessary to confirm freedom from communicable tuberculosis.
- (4) A copy of requests for the criminal history record and child abuse registry clearance information, a copy of the disclosure statement and a copy of the completed clearance information required under the CPSL.
- (5) Two written, nonfamily references from individuals attesting to the person's suitability to serve as a facility person.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.193. Confidentiality of records.**

- (a) Facility persons' records are confidential and shall be stored in a locked cabinet.
- (b) A facility person may not disclose information concerning another facility person or adult providing a service at the facility, except in the course of investigations or inspections by agents of the Department.

#### **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

#### **§ 3270.201—3270.210. [Reserved].**

#### **Source**

The provisions of these § § 3270.201—3270.210 reserved May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (204590) to (204592).

## HEAD START PROGRAMS

### § 3270.221. Certificate of compliance.

Child day care programs that meet the requirements of this chapter are issued a certificate of compliance. When child day care services are operated before or after the Head Start Program, that portion of the extended day which meets the definitions of this chapter shall receive a certificate of compliance.

## SPECIAL EXCEPTIONS

### § 3270.231. Staff qualifications.

(a) Staff persons employed in a day care center prior to April 2, 1978, permanently qualify for their position, as long as the staff qualifications in effect as of September 1, 1977 are met.

(b) Promotions prior to July 1, 1978 are permitted according to the staff qualifications in effect as of September 1, 1977, for Director, Group Supervisor, Assistant Group Supervisor and Aide. If promoted prior to July 1, 1978, according to the staff qualifications in effect as of September 1, 1977, these staff permanently qualify for their promoted position.

(c) A staff person previously granted a waiver of staff qualification is permanently qualified at the position level specified by the waiver.

### Cross References

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### § 3270.232. Indoor and outdoor space and capacity.

(a) Facilities licensed or approved prior to April 2, 1978, that comply with the indoor space requirements effective September 1, 1977, permanently satisfy the indoor space requirements specified in § 3270.61 (relating to measurement and use of indoor child care space).

(b) Infant and toddler indoor or outdoor play space capacity established prior to April 4, 1992, shall remain in effect.

(c) A facility certified by the Department which does not provide play space as described at § 3270.62(a) (relating to measurement and use of play space) as of April 4, 1992, is exempt from the requirement, if the facility location remains unchanged.

## **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.233. Play surfaces.**

(a) A facility lawfully operating as of September 22, 2008, has until September 22, 2010, to comply with the protective surface requirement described in § 3270.102(c) (relating to condition of play equipment).

(b) A facility lawfully operating as of September 22, 2008, which has a play surface not in compliance with § 3270.102(e) has until September 22, 2010, to comply with the requirement described in § 3270.102(e).

## **Authority**

The provisions of this § 3270.233 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

## **Source**

The provisions of this § 3270.233 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial page (204593).

## **Cross References**

This section cited in 55 Pa. Code § 3270.241 (relating to requirements specific to school-age programs).

### **§ 3270.234. Stacked cribs and crib slats.**

A facility certified by the Department as of April 4, 1992, will be permitted until April 4, 1993, to comply with requirements relating to stacked cribs and crib slats, as described at § 3270.106 (relating to rest equipment).

## **SCHOOL-AGE PROGRAMS**

### **§ 3270.241. Requirements specific to school-age programs.**

(a) If a child is required to be enrolled in public or private school under the Public School Code of 1949 (24 P. S. § § 1-101—27-2702) and if the child is not enrolled and if the child is not exempted from enrollment under the Public School Code, a child day care facility may not admit the child for care during the hours when the child is required by law to attend public or private

school.

(b) A facility or a space in a facility in which care is provided exclusively to school-age children shall comply only with the following sections:

(1) *General provisions.* Sections 3270.1—3270.4 (relating to general provisions).

(2) *General requirements.* Sections 3270.11—3270.27 (relating to general requirements).

(3) *Staff persons and volunteers.* Sections 3270.31, 3270.32 and 3270.33(a), (b) and (d) (relating to age and training; suitability of persons in the facility; and general requirements for facility persons). Special requirements for director, group supervisor and assistant group supervisor are set forth in subsection (c).

(4) *Staff-child ratio.* Sections 3270.51, 3270.53 and 3270.54 (relating to similar age level; children of an operator or a staff person; and minimum number of facility persons in the child care facility).

(5) *Physical site.*

(i) Physical site requirements do not apply for a school-age program located in a school building that is under section 776.1 of the Public School Code of 1949 (24 P. S. § 7-776.1); specifically, a program operated for school-age children in a public or private school building, a building used by an intermediate unit, or an area vocational-technical school building which meets the physical site requirements acceptable to the Department of Education.

(ii) A school-age program not located in a school building referenced in subparagraph (i) must comply with requirements in §§ 3270.61, 3270.62, 3270.64, 3270.67, 3270.69, 3270.72(a), 3270.73, 3270.74, 3270.76, 3270.78, 3270.79 and 3270.82(a), (b) and (h).

(6) *Fire safety.* Sections 3270.91(a), 3270.92—3270.94 (relating to fire safety).

(7) *Equipment.* Sections 3270.101, 3270.102(a)—(c) and (g), 3270.104, 3270.107 and 3270.108.

(8) *Program.* Sections 3270.111, 3270.113, 3270.115(a) and (b), 3270.116 and 3270.118.

(9) *Procedures for admission.* Sections 3270.121—3270.124 (relating to procedures for admission). When a school-age child attends the facility 15 hours or less per week, the operator is not required to complete the Department's approved form to provide information to the family about the child's growth and development in the context of the services being provided referenced in § 3270.124(a)(3).

(10) *Child health.* Sections 3270.131—3270.134(a) and 3270.136—3270.138. An equivalent health report completed by a school is acceptable as documentation of child health for a school-age child.

(11) *Adult health.* Sections 3270.151—3270.154(a) and 3270.155.

(12) *Nutrition.*

(i) In a facility operating a school-age program for fewer than 4 consecutive hours, none of the nutrition requirements applies.

(ii) If a program operates for 4 or more consecutive hours or if a program provides meals or snacks, the facility director shall comply with § § 3270.161—3270.165.

(13) *Transportation.*

(i) A facility is exempt from transportation requirements when children attending care at the facility are transported in vehicles owned and operated by the school district in which the facility is located.

(ii) A facility not operating under the provisions referenced in subparagraph (i) shall comply with § § 3270.171—3270.178 (relating to transportation).

(14) *Child records.* Sections 3270.181—3270.185 (relating to child records).

(15) *Adult records.* Sections 3270.191—3270.193 (relating to adult records).

(16) *Special exemptions.* Sections 3270.231—3270.233 (relating to staff qualifications; indoor and outdoor space and capacity; and play surfaces).

(17) *Telephone.* Staff persons shall have immediate access to a working telephone on the facility premises. If a land-line telephone is not accessible to staff persons during the hours of facility operation, a wireless telephone is acceptable.

(c) Special requirements for staff persons in school-age programs or in school-age spaces.

(1) *Director.*

(i) In a program operating 30 or fewer hours a week, a director or director/group supervisor shall be present at a facility as required at § 3270.34 (relating to director qualifications and responsibilities) at least 20% of the program's operational hours.

(ii) In a program operating 31 to 45 hours a week, a director or director/group supervisor shall be present at a facility site at least 35% of the program's operational hours.

(iii) In a program operating 46 or more hours a week, a director or director/group supervisor shall be present at a facility site as described at § 3270.34(c) and (d).

(iv) A responsible designee shall be appointed by a director as described at § 3270.34.

(2) *Group supervisor.* In a program in which 46 or more school-age children are enrolled, a group supervisor shall be present at a facility site as described at § 3270.35 (relating to group supervisor qualifications and responsibilities).

(3) *Assistant group supervisor.*

(i) In a program in which 45 or fewer school-age children are enrolled, assistant group supervisors shall be present at a facility site during the program's operational hours.

(ii) In a program in which 45 or fewer school-age children are enrolled, one assistant group supervisor is required to be present at a facility site for each group of 12 young school-age or 15 older school-age children in attendance.

(iii) In a program of 45 or fewer enrolled children, an assistant group supervisor is responsible for the following minimum duties:

(A) Planning and implementing, with the director, daily program activities.

(B) Coordinating activities with other assistant group supervisors.

(C) Assisting the director as needed.

(4) *Aides*. In a program in which 46 or more school-age children are enrolled, the responsibility of an aide is described at § 3270.37 (relating to aide qualifications and responsibilities).

### **Authority**

The provisions of this § 3270.241 amended under Articles IX and X of the Public Welfare Code (62 P. S. § § 901—922 and 1001—1087).

### **Source**

The provisions of this § 3270.241 amended May 23, 2008, effective September 22, 2008, 38 Pa.B. 2437. Immediately preceding text appears at serial pages (204593) to (204596).

### **Cross References**

This section cited in 55 Pa. Code § 3270.3 (relating to applicability); and 55 Pa. Code § 3270.172 (relating to consent).

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